



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of: **Michael C. FINDLEY ET AL**

Application No.: 09/768,993

Attorney Docket No.: OAO-0001

Filed: January 24, 2001

For: **SYSTEM MANAGEMENT TOOL  
AND METHOD**

Examiner: Scott L. Jarrett

Group Art Unit: 3623

**CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

March 17, 2005

Date of Certificate

*Jacqueline D. Bailey*  
Jacqueline D. Bailey

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon.

This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance.

Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

No citation by Applicant's should be construed as an admission that the document was published or is otherwise prior art against the captioned Application. Where a document lacks a date, citation thereof should not be construed as an admission that the document was in fact published prior to the filing of the captioned Application.

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

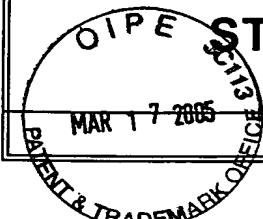
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Enclosures - Form PTO-1449

Copies of Non-References listed on PTO-1449

# INFORMATION DISCLOSURE STATEMENT



SHEET 1 OF 1

*Complete if known*

Application Number: 09/768,993

Filing Date: January 24, 2001

First Named Inventor: FINDLEY ET AL

Group Art Unit: 3623

Examiner Name: Scott L. Jarrett

Attorney Docket Number: OAO-0001

## UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

## OTHER CITATIONS - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		GEORGE E. STARK, "Effectively Using Metrics for Software Engineering and Management Decision-making," 71 Pages (sections individually page numbered).
		NORMAN F. SCHNEIDEWIND, "Minimizing Risk In Applying Metrics On Multiple Projects," Research Triangle Park, North Carolina, October 7-10, 1992, 12 Pages (incl. Pp. 173-182).
		RIVERSIDE RESEARCH INSTITUTE, "MWSSS METRICS APPROACH," Lexington, Massachusetts, 42 Pages.
		GEORGE E. STARK and PAUL OMAN, "A Survey Instrument For Understanding The Complexity Of Software Maintenance," Journal Of Software Maintenance Research And Practice, Volume 7 number 6 JSMPEU 7(6) 383-452 (1995) ISSN 1040-550X, November-December 1995, 21 Pages.
		NORMAN F. SCHNEIDEWIND, "An Integrated Process and product Model," Source: International Metrics Symposium, Bethesda, Maryland, November 20-22 1998, Pages 224-234.
		W. LEWIS ET AL, " The Decision Support System: A metrics And Forecasting Tool For Fact-Based Decisions -- A Panel Discussion," Presented at IEEE Computer Society International Conference on software Maintenance, San Jose, California, October 10-12, 2000, 45 Pages.

EXAMINER'S SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.